

ARIZONA CORPORATION COMMISSION  
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL - MAKE CHANGES AS NECESSARY

12

W-01958A  
Roosevelt Lake Resort, Inc.  
HCO 2, Box 901  
Roosevelt AZ 85545

RECEIVED

SEP 27 2007

AZ CORP COMM  
Director Utilities

ANNUAL REPORT

FOR YEAR ENDING

12	31	2006
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FOR COMMISSION USE

ANN06	06
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9-27-07

## COMPANY INFORMATION

Company Name (Business Name) <u>Roosevelt Lake Resort, Inc. (Water Company)</u>			
Mailing Address <u>HCO2 Box 901</u>			
<u>Roosevelt</u> (City)		<u>AZ</u> (State)	<u>85545</u> (Zip)
<u>928-467-2276</u>		<u>928-812-3402</u>	
Telephone No. (Include Area Code)		Fax No. (Include Area Code)	Pager/Cell No. (Include Area Code)
Email Address <u>dcmleach@yahoo.com</u>			
Local Office Mailing Address _____			
_____ (City)		_____ (State)	_____ (Zip)
Local Office Telephone No. (Include Area Code)		Fax No. (Include Area Code)	Pager/Cell No. (Include Area Code)
Email Address _____			

## MANAGEMENT INFORMATION

Management Contact: <u>Michael Leach</u>		<u>PRESIDENT</u>	
<u>HCO2 Box 901</u> (Street)		<u>Roosevelt</u> (City)	<u>AZ 85545</u> (State) (Zip)
<u>928-467-2276</u>		<u>928-812-3402</u>	
Telephone No. (Include Area Code)		Fax No. (Include Area Code)	Pager/Cell No. (Include Area Code)
Email Address <u>SAME AS ABOVE</u>			
On Site Manager: <u>SAME AS ABOVE</u>			
<u>SAME AS ABOVE</u> (Name)			
_____ (Street)		_____ (City)	_____ (State) (Zip)
Telephone No. (Include Area Code)		Fax No. (Include Area Code)	Pager/Cell No. (Include Area Code)
Email Address _____			

☐ Please mark this box if the above address(es) have changed or are updated since the last filing.



COMPANY NAME

Roosevelt Lake Water Utility

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization	1165		
302	Franchises			
303	Land and Land Rights			
304	Structures and Improvements	5050		
307	Wells and Springs			
311	Pumping Equipment	29059		
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	11848		
331	Transmission and Distribution Mains	70426		
333	Services			
334	Meters and Meter Installations	874		
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment	3500		
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment	43100		
344	Laboratory Equipment	1200		
345	Power Operated Equipment	7500		
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	173722		

This amount goes on the Balance Sheet Acct. No. 108

COMPANY NAME

ROOSEVELT LAKE WATER UTILITY

CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization	1165	-	-
302	Franchises			
303	Land and Land Rights			
304	Structures and Improvements	5050	5	252
307	Wells and Springs			
311	Pumping Equipment	29059	5	1452
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	11848	5	592
331	Transmission and Distribution Mains	70426	5	3521
333	Services			
334	Meters and Meter Installations	874	5	44
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment	3500	5	175
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment	43100	5	2155
344	Laboratory Equipment	1200	5	60
345	Power Operated Equipment	7500	5	375
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	<b>TOTALS</b>	173722		8626

This amount goes on the Comparative Statement of Income and Expense  
Acct. No. 403.

COMPANY NAME

Roosevelt Lake Water Utility

BALANCE SHEET

Acct No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	<b>ASSETS</b>		
	<b>CURRENT AND ACCRUED ASSETS</b>		
131	Cash		
134	Working Funds	\$	\$
135	Temporary Cash Investments		
141	Customer Accounts Receivable		
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	<b>TOTAL CURRENT AND ACCRUED ASSETS</b>		
		\$	\$
	<b>FIXED ASSETS</b>		
101	Utility Plant in Service		
103	Property Held for Future Use	\$	\$
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant		
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	<b>TOTAL FIXED ASSETS</b>		
		\$	\$
	<b>TOTAL ASSETS</b>	\$	\$

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

COMPANY NAME Roosevelt Lake Water Utility

**BALANCE SHEET**

Acct No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	<b>ASSETS</b>		
	<b>CURRENT AND ACCRUED ASSETS</b>		
131	Cash	\$	\$
134	Working Funds		
135	Temporary Cash Investments		
141	Customer Accounts Receivable		
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	<b>TOTAL CURRENT AND ACCRUED ASSETS</b>	\$	\$
	<b>FIXED ASSETS</b>		
101	Utility Plant in Service	\$	\$
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant		
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	<b>TOTAL FIXED ASSETS</b>	\$	\$
	<b>TOTAL ASSETS</b>	\$	\$

**NOTE:** The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

COMPANY NAME

Roosevelt Lake Water Utility

**BALANCE SHEET (CONTINUED)**

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	<b>LIABILITIES</b>		
	<b>CURRENT LIABILITIES</b>		
231	Accounts Payable	\$	\$
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits		
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	<b>TOTAL CURRENT LIABILITIES</b>	\$	\$
	<b>LONG-TERM DEBT (Over 12 Months)</b>		
224	Long-Term Notes and Bonds	\$	\$
	<b>DEFERRED CREDITS</b>		
251	Unamortized Premium on Debt	\$	\$
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	<b>TOTAL DEFERRED CREDITS</b>	\$	\$
	<b>TOTAL LIABILITIES</b>	\$	\$
	<b>CAPITAL ACCOUNTS</b>		
201	Common Stock Issued	\$	\$
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings		
218	Proprietary Capital (Sole Props and Partnerships)		
	<b>TOTAL CAPITAL</b>	\$	\$
	<b>TOTAL LIABILITIES AND CAPITAL</b>	\$	\$



COMPANY NAME

Rosevelt Lake Water Utility

COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$ 42,250.00	\$ 49,088.16
460	Unmetered Water Revenue		
474	Other Water Revenues		
	<b>TOTAL REVENUES</b>	\$ 42,250.00	\$ 49,088.16
	<b>OPERATING EXPENSES</b>		
601	Salaries and Wages	\$ 18,000.00	\$ 18,000.00
610	Purchased Water		
615	Purchased Power		11,041.86
618	Chemicals		
620	Repairs and Maintenance	2902.10	912.94
621	Office Supplies and Expense	384.00	129.40
630	Outside Services	566.00	4,500.00
635	Water Testing	285.00	3,158.32
641	Rents	2400.00	4,800.00
650	Transportation Expenses	3200.00	
657	Insurance - General Liability	1440.00	2,500.00
659	Insurance - Health and Life		
666	Regulatory Commission Expense - Rate Case		
675	Miscellaneous Expense		
403	Depreciation Expense	8626.00	<del>8626.00</del>
408	Taxes Other Than Income		3,136.48
408.11	Property Taxes	2470.47	1,969.71
409	Income Tax		
	<b>TOTAL OPERATING EXPENSES</b>	\$ 40,213.57	\$ 58,774.71
	<b>OPERATING INCOME/(LOSS)</b>	\$ 2,036.43	\$ (9686.55)
	<b>OTHER INCOME/(EXPENSE)</b>		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	<b>TOTAL OTHER INCOME/(EXPENSE)</b>	\$	\$
	<b>NET INCOME/(LOSS)</b>	\$ 2,036.43	\$ (9686.55)

COMPANY NAME

*Rosevelt Lake Water Utility*SUPPLEMENTAL FINANCIAL DATALong-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate	%	%	%	%
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

Meter Deposit Balance at Test Year End

\$

Meter Deposits Refunded During the Test Year

\$

COMPANY NAME

Roosevelt Lake Water Utility

WATER COMPANY PLANT DESCRIPTIONWELLS

ADWR ID Number*	Pump Horsepower	Pump Yield (gpm)	Casing Depth (Feet)	Casing Diameter (Inches)	Meter Size (inches)	Year Drilled
55-601702	3.5	30 gpm	125	8"	2"	1964

\* Arizona Department of Water Resources Identification Number

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)
Roosevelt Resort (10,000 GAL Tank)	20	—
1200 GAL press	5 HP	

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other
5 HP	one	none	

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity
10,000 GAL	one	3000	one
5000 gal	one		

COMPANY NAME

Rogers Lake Water Utility

## WATER COMPANY PLANT DESCRIPTION (CONTINUED)

## MAINS

Size (in inches)	Material	Length (in feet)
2	pvc	1000
3	pvc	3600
4	pvc	3500
5		
6		
8	GAL	36
10		
12		

## CUSTOMER METERS

Size (in inches)	Quantity
5/8 X 3/4	138
3/4	
1	
1 1/2	
2	
Comp. 3	
Turbo 3	
Comp. 4	
Tubo 4	
Comp. 6	
Tubo 6	

For the following three items, list the utility owned assets in each category.

## TREATMENT EQUIPMENT

Chlorine & Chemical Metering \$1200 last 1992

## STRUCTURES:

1 - pump Bldg 6' x 8' Cement Floor, Frame Construction  
FLAT ROOF  
1 - Slump Block Bldg 6' x 8' Slant Roof (Elec controls)

## OTHER:

Lab Test Equip & Chemicals  
Track Loader & CASE 310 Trencher & Trailer

COMPANY NAME: Roosevelt Lake Resort Water Utility 04-018

WATER USE DATA SHEET BY MONTH FOR CALENDAR YEAR

MONTH	NUMBER OF CUSTOMERS	GALLONS SOLD	GALLON PUMPED (Thousands)
JANUARY	134	252,280	N/A
FEBRUARY	134	326,360	
MARCH	134	472,630	
APRIL	133	612,900	
MAY	132	485,090	
JUNE	133	1,055,526	
JULY	133	470,554	
AUGUST	133	874,386	
SEPTEMBER	133	280,597	
OCTOBER	132	425,210	
NOVEMBER	135	291,530	
DECEMBER	132	197,760	
TOTAL		5,744,833	

Is the Water Utility located in an ADWR Active Management Area (AMA)?

( ) Yes

(☒) No

Does the Company have an ADWR Gallons Per Capita Per Day (GPCPD) requirement?

( ) Yes

(☒) No

If yes, provide the GPCPD amount: \_\_\_\_\_

What is the level of arsenic for each well on your system 70 mg/l  
(If more than one well, please list each separately.)

*Note: If you are filing for more than one system, please provide separate data sheets for each system.*

COMPANY NAME

Rosevelt Lake Water Utility

YEAR ENDING 12/31/2004

PROPERTY TAXES

Amount of actual property taxes paid during Calendar Year 2004 was: \$ 1969.71

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why.

COMPANY NAME Roosevelt Lake Water Utility YEAR ENDING 12/31/<sup>2006</sup>~~2004~~

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported  
Estimated or Actual Federal Tax Liability

0  
\_\_\_\_\_  
\_\_\_\_\_

State Taxable Income Reported  
Estimated or Actual State Tax Liability

\_\_\_\_\_  
\_\_\_\_\_

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances  
Amount of Gross-Up Tax Collected  
Total Grossed-Up Contributions/Advances

\_\_\_\_\_  
\_\_\_\_\_  
0  
\_\_\_\_\_

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

**CERTIFICATION**

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

Michael Leach

SIGNATURE

9/24/07

DATE

MICHAEL LEACH

PRINTED NAME

PRESIDENT

TITLE

VERIFICATION  
AND  
SWORN STATEMENT  
Intrastate Revenues Only

RECEIVED

SEP 27 2007

AZ CORP COMM  
Director Utilities

VERIFICATION

STATE OF \_\_\_\_\_  
I, THE UNDERSIGNED  
OF THE

COUNTY OF (COUNTY NAME)
GILA
NAME (OWNER OR OFFICIAL) TITLE
MICHAEL LEACH - PRESIDENT
COMPANY NAME
ROOSEVELT LAKE RESORT, INC. WATER CO.

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2006 <del>2004</del>

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2004 WAS:

Arizona Intrastate Gross Operating Revenues Only (\$)

\$ 49,088.16

(THE AMOUNT IN BOX ABOVE  
INCLUDES \$ 3,136.48  
IN SALES TAXES BILLED, OR COLLECTED)

\*\*REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)

Michael Leach  
SIGNATURE OF OWNER OR OFFICIAL  
928-467-2276  
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS \_\_\_\_\_ DAY OF

(SEAL)

COUNTY NAME	
MONTH	.20__

MY COMMISSION EXPIRES \_\_\_\_\_

SIGNATURE OF NOTARY PUBLIC



VERIFICATION  
AND  
SWORN STATEMENT  
RESIDENTIAL REVENUE  
INTRASTATE REVENUES ONLY

RECEIVED

SEP 27 2007

AZ CORP COMM  
Director Utilities

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) <i>M</i> <i>GILA</i>	
NAME (OWNER OR OFFICIAL) <i>MICHAEL</i> <i>Leach</i>	TITLE <i>PRESIDENT</i>
COMPANY NAME <i>ROOSEVELT LAKE RESORT - WATER COMPANY</i>	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2004 <i>6</i>

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2004 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ <i>49,088.16</i>

(THE AMOUNT IN BOX AT LEFT  
INCLUDES \$ *3136.48*  
IN SALES TAXES BILLED, OR COLLECTED)

\*RESIDENTIAL REVENUE REPORTED ON THIS PAGE  
MUST INCLUDE SALES TAXES BILLED.

*Michael Leach*  
SIGNATURE OF OWNER OR OFFICIAL  
*928-467-2276*  
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

--

DAY OF

NOTARY PUBLIC NAME	
COUNTY NAME	
MONTH	, 20__

(SEAL)

MY COMMISSION EXPIRES

X

SIGNATURE OF NOTARY PUBLIC

## FINANCIAL INFORMATION

Attach to this annual report a copy of the companies' year-end (Calendar Year <sup>2008</sup>~~2004~~) financial statements. If you do not compile these reports, the Utilities Division will supply you with blank financial statements for completion and filing. **ALL INFORMATION MUST BE ARIZONA-SPECIFIC AND REFLECT OPERATING RESULTS IN ARIZONA.**